

CHICAGO OFFICE  
TELEPHONE (312) 258-5500  
FACSIMILE (312) 258-5700

Patent Department  
Direct Facsimile (312) 258-5921

**SCHIFF HARDIN & WAITE**

**PATENT DEPARTMENT**

**6600 SEARS TOWER**

**CHICAGO, ILLINOIS 60606-6473**

**CUSTOMER NUMBER 26574**

Chicago  
Washington  
New York  
Merrillville  
Dublin

In re application of: WAITL, et al.

DOCKET NO: P00,1086

Serial No: 09/581,585

GROUP ART UNIT: 2813

Filed: October 5, 2000

EXAMINER: L. Schillinger

For: **SURFACE MOUNTING OPTOELECTRONIC COMPONENT AND  
METHOD FOR PRODUCING SAME**

Assistant Commissioner for Patents,  
Washington, D.C. 20231

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below.

CLAIMS AS AMENDED						
	(2) CLAIMS REMAINING AFTER AMENDMENT		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEE
TOTAL CLAIMS	* 19	MINUS	** 20	-0-	( ) X 9.00 ( ) X 18.00	
INDEP. CLAIMS	* 02	MINUS	** 03	-0-	( ) X 42.00 (X) X 84.00	
Application amended to contain any multiple dependent claims not previously paid for.				( ) YES (X) NO	( ) \$140.00 ( ) \$280.00 ONE TIME	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					NONE	

\* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20 write "20" in this space.

- ☐ Applicant petitions the Commissioner of Patents and Trademarks to extend this time for response to the Office Action dated \_\_\_\_\_ for \_\_\_\_\_ month(s) so that the period for response is extended to \_\_\_\_\_ . A check in the amount of \$ \_\_\_\_\_ is attached to cover the cost of the extension.
- ☐ A check for \$ \_\_\_\_\_ is enclosed to cover the cost of \_\_\_\_\_ extra independent claim.
- ☐ A check for \$ \_\_\_\_\_ accompanying IDS under 37 CFR 1.97(c) is attached
- ☐ A check for \$ \_\_\_\_\_ and Petition for Consideration of IDS under 37 CFR 1.97(d) is attached.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any overpayment to account No. 50-1519. A duplicate of this sheet is enclosed.
- When phoning regarding this application, please call (312) 258-5779

BY Mark Bergner (Reg. No. 45,877)  
Mark Bergner

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D C 20231 on January 8, 2002.

Mark Bergner  
Mark Bergner Attorney for Applicants

B



# 9/B  
226-02  
Harrison

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AMENDMENT B

APPLICANT: Guenter WAITL, et al. DOCKET NO: P00,1086  
SERIAL NO.: 09/581,585 ART UNIT: 2813  
FILED: October 5, 2000 EXAMINER: L. Schillinger  
TITLE: "SURFACE MOUNTING OPTOELECTRONIC COMPONENT AND METHOD FOR PRODUCING SAME"

5 Assistant Commissioner for Patents,  
Washington, D.C. 20231

Dear Sir:

This amendment is responsive to the non-final Office Action dated November 2, 2001.

RECEIVED  
FEB - 4 2002  
TECHNOLOGY CENTER 2800

10

IN THE CLAIMS

Please add the following claims 41 and 42 as follows:

8. ~~41~~. (New) The method according to claim ~~21~~, further comprising:  
bringing the optical device in contact with the casting compound in a region of  
the recess prior to curing the casting compound.

15

9. ~~42~~. (New) The method according to claim ~~21~~, wherein the optoelectronic  
component is configured as a surface-mount technology component.

REMARKS

Claims 21-40 are pending in the application. The status of the claims is as follows:

Claims	Status
38-40	Withdrawn
21-37	Rejected

20

The rejected claims / sections were rejected as follows:

26

B